

नॉर्दर्न कोलफील्ड्स लिमिटेड  
(मिनिरत्न कंपनी)  
(कोल इण्डिया लिमिटेड की अनुषंगी कंपनी)



Northern Coalfields Limited  
(A Miniratna Company)  
(A subsidiary of Coal India Limited)

समग्री प्रबन्धक विभाग / Materials Management Department



CIN- U10102MP1985G01003160

An ISO: 9001, ISO: 14001 & OHSAS: 18001 Certified Company

पोस्ट- सिंगरौली कोलियरी, जिला- सिंगरौली, म.प्र., पिन 486889 / Post- Singrauli Colliery, Distt- Singrauli, M.P. PIN-486889  
Phone: 07805- 266388, (FAX) 266640 email: [gmmm@ncl.gov.in](mailto:gmmm@ncl.gov.in) website : [www.nclcil.in](http://www.nclcil.in)

Ref: 63711065 / 117A1045 / 100T Rear Dumper

Date: 08.01.2018

BY REGD. POST

M/s Caterpillar India Private Limited,  
Melnallathur, Thiruvallur,  
Tamil Nadu 602 004

Vendor Code: C0374

Sub: Supply Order for 103 Nos. 100T to 110T Rear Dumpers with Spare Parts and Consumables for warranty period of 01 Year (12 months) and thereafter Spare Parts and Consumables for 03 years (36 months).

- Ref: 1. Our Global Tender Enquiry No. NCL / SGR / MMD / Sec. I / 100T Dumper / 117A1045 / 38 dated 11.08.2017 (Tender ID: 2017\_NCL\_74758\_1), opened on 20.09.2017
2. Online Offer submitted vide Bid ID 219048 on 18.09.2017 by your authorized agent, M/s GMMCO Limited
3. Letter No. GMMCO / SGRL / 100T / 2017-18 / 326 dated 18.12.2017 of M/s GMMCO Limited
4. Letter No. GMMCO / SGRL / 100T / 2017-18 / 327 dated 18.12.2017 of M/s GMMCO Limited

Dear Sirs,

In reference to the above, we are pleased to place on you, an order for supply of 103 Nos. **Caterpillar Make CAT 777E 100T Rear Dumper** with Spare Parts and Consumables for warranty period of 01 Year (12 months) and thereafter Spare Parts and Consumables for 03 years (36 months), as per following details:

Sl. No.	Description	Qty Nos./ sets	Unit Rate (Rs)	Extended Value (Rs)
01	Caterpillar Make CAT 777E 100T Rear Dumper with Engine Model- Cat C32 ACERT, Tier II	103 Nos.	2,81,99,734.00	290,45,72,602.00

**M/s Caterpillar India Private Limited****Supply Order No.: 63711065 / 117A1045 / 100T Rear Dumper****Date: 08.01.2018**

	Detailed technical specification are given in <b>Annexure – A</b> (Scope of Supply), <b>Annexure – B</b> (General Requirements) and <b>Annexure – C</b> (Equipment Specifications)			
02	Special Tools as per <b>Annexure – D</b>	<b>21 sets</b>	<b>1,722.00</b>	<b>36,162.00</b>
03	Spare Parts & Consumables for warranty period of the equipment as per <b>Annexure - F</b>	<b>103 sets</b>	<b>15,66,589.00</b>	<b>16,13,58,667.00</b>
04	Spare Parts & Consumables for 2 <sup>nd</sup> Year of Operation as per <b>Annexure - F</b>	<b>103 sets</b>	<b>9,17,636.03</b>	<b>9,45,16,511.09</b>
05	Spare Parts & Consumables for 3 <sup>rd</sup> Year of Operation as per <b>Annexure - F</b>	<b>103 sets</b>	<b>10,87,645.78</b>	<b>11,20,27,515.34</b>
06	Spare Parts & Consumables for 4 <sup>th</sup> Year of Operation as per <b>Annexure - F</b>	<b>103 sets</b>	<b>5,77,225.04</b>	<b>5,94,54,179.12</b>
	<b>Total</b>			<b>333,19,65,636.55</b>

- Note:
1. Equipment shall be supplied by M/s Caterpillar India Private Limited whereas Special Tools and Spare Parts & Consumables shall be supplied by M/s GMMCO Limited from their Singrauli Depot. Erection & Commissioning support shall also be provided by M/s GMMCO Limited, Singrauli. Accordingly, payment for equipment shall be made to M/s Caterpillar India Private Limited and for Special Tools, Spare Parts & Consumables to M/s GMMCO Limited.
  2. The prices of spare parts & consumables have been offered as a very special price as a consolidated package of spare parts for supply along with the new equipment to various projects of NCL, as such, it shouldn't be treated as LPP for any future reference and should be kept outside the purview of depot agreement without implication of Price fall clause.
  3. GSTIN of M/s Caterpillar, Tamil Nadu - 33AABCC4615K1ZW  
GSTIN of M/s GMMCO Limited, Singrauli - 23AABCG0949C1Z5
  4. HSN Code of Equipment - 87041010  
HSN Code of Special Tools - 7326 & 8431  
HSN Code of Spare Parts & Consumables - HSN Code of Spare Parts & Consumables are mentioned in Annexure – F along with part details

**01. BASIC ORDER VALUE (ON EX WORKS BASIS): Rs. 333,19,65,636.55** (Rupees Three Hundred Thirty Three Crores Nineteen Lakhs Sixty Five Thousand Six Hundred Thirty Six and Paise Fifty Five Only)

GST, Freight & Insurance Charges, will be payable extra as detailed in respective clauses.

**02. BASIS OF PRICE:**

F.O.R. Destination. Safe arrival of materials at consignee's end will be your responsibility.

**03. PACKING & FORWARDING CHARGES: NIL.**

**04. FREIGHT CHARGES UPTO DESTINATION:**

Transportation of equipment & materials from your Factory/Works up to the consignee's end will be your responsibility and Freight charges will be payable extra at **actuals against documentary evidence subject to a ceiling of Rs. 4,50,000.00 for each equipment along with accessories**. GST will be payable extra. The firm will provide Tax Invoice (as per GST Act & Rule) to avail Input Tax Credit by NCL.

For spare parts and consumables for the warranty period and for 3 years beyond warranty period, freight charges are NIL.

**05. INSURANCE CHARGES UPTO DESTINATION:**

Will be payable extra at actuals against documentary evidence subject to a ceiling of **Rs. 15,000.00 per equipment**. GST will be payable extra. The firm will provide Tax Invoice (as per GST Act & Rule) to avail Input Tax Credit by NCL.

Insurance charges for spare parts and consumables for the warranty period and for 3 years beyond warranty period are NIL.

05.1 The Goods supplied under the Contract shall be fully insured by you against loss or damage incidental to manufacture or acquisition, transportation, storage and delivery up to Final destination site. The insurance cover should be available for a period of not less than three (3) months after the complete supply is delivered at final destination to cover the period of erection and commissioning.

05.2 You shall deliver the goods at the FOR destination site at your own risks and costs. NCL has no obligation to you for arranging insurance. However, NCL will provide the supplier upon request, with necessary information for obtaining insurance.

**06. GOODS & SERVICES TAX:**

**For Equipment**

Payable extra as applicable at the time of supply against documentary evidence. The present rate of IGST is @ 28%. The firm will provide Tax Invoice (as per GST Act & Rule) to avail Input Tax Credit by NCL.

**For Special Tools**

Payable extra as applicable at the time of supply against documentary evidence. The present rate of IGST is @ 18% for UP Consignee and CGST @ 9% & SGST @ 9% for MP consignee. The firm will provide Tax Invoice (as per GST Act & Rule) to avail Input Tax Credit by NCL.

**For spare parts & consumables for the warranty period and for 03 Years beyond warranty period of the equipment**

Payable extra as applicable at the time of supply against documentary evidence. The present rate of IGST is @ 18% / 28% for UP Consignee and CGST @ 9% / 14% & SGST @ 9% / 14% for MP consignee. The applicable rate of GST for individual items shall depend on HSN Code. The firm will provide Tax Invoice (as per GST Act & Rule) to avail Input Tax Credit by NCL.

Refund / Credit, if any obtained on account of GST thereon shall be passed on to NCL which shall be certified by the Auditor of the firm (at the time of billing).

The details of GST Registration Numbers of NCL are indicated below:

<b>For Consignee located in</b>	<b>GST Registration Number</b>
Uttar Pradesh	09AABCN4884H1Z4
Madhya Pradesh	23AABCN4884H1ZE

**07. ERECTION & COMMISSIONING:** Shall be done on Free of Cost basis.

**08. CONSIGNEE:**

<b>Sl. No.</b>	<b>Name of the Project</b>	<b>Item No. 1, 3, 4, 5 &amp; 6 (Nos. / Sets)</b>	<b>Item No. 2 (Sets)</b>
1.	The Depot Officer, Regional Stores, Amlohri Project, P.O. Amlohri Colliery, Dist: Singrauli, M.P.	16	03
2.	The Depot Officer, Regional Stores, Jayant Project, P.O. Jayant Colliery, Dist: Singrauli, M.P.	39	08
3.	The Depot Officer, Regional Stores, Jhingurda Project, P.O. Singrauli Colliery, Dist: Singrauli, M.P.	10	02
4.	The Depot Officer, Regional Stores, Nigahi Project, P.O. Nigahi Colliery, Dist: Singrauli, M.P.	10	02
5.	The Depot Officer, Regional Stores, Bina Project, P.O. Bina Colliery, Dist: Sonebhadra, U.P.	02	01
6.	The Depot Officer, Regional Stores, Dudhichua Project, P.O. Khadia Colliery, Dist: Sonebhadra, U.P.	09	02
7.	The Depot Officer, Regional Stores, Kakri Project, P.O. Kakri Colliery, Dist: Sonebhadra, U.P.	10	02
8.	The Depot Officer, Regional Stores, Khadia Project,	07	01

	P.O. Khadia Colliery, Dist: Sonebhadra, U.P.		
	Total	103	21

**09. PAYING AUTHORITY:**

**(a) For Equipment along with accessories and special tools:**

General Manager (Fin), NCL HQ, through Area Finance Manager, NCL HQ, Singrauli, Northern Coalfields Limited, P.O.: Singrauli Colliery, Dist. Singrauli (M.P.): 486 889.

**(b) For Spare Parts & Consumables for the warranty period and Spare Parts & Consumables for 3 years beyond warranty period of equipment:**

Area Finance Managers of respective projects.

**10. DELIVERY PERIOD:**

**(A) Equipment along with accessories, Spare Parts & Consumables for the warranty period of the equipment**

All the 103 Nos. dumpers shall be supplied within 22 months from the date of issue of Supply Order. First, minimum eight (08) Nos. of dumpers shall be supplied within 06 months from the date of issue of Supply Order and thereafter minimum 06 machines in each month.

Delivery of Spare Parts & Consumables, including Lubricants for the warranty period of the equipment shall be made as specified in Clause B.6.2, Annexure – B.

**(B) Spare Parts & Consumables for 03 years beyond warranty period of the equipment**

Delivery of Spare Parts & Consumables for 03 years beyond warranty period of the equipment shall be made as specified in Clause B.6.2, Annexure – B.

**(C)** The delivery period will be counted from the date of issue of order. Failure to supply the equipment within the delivery period will attract liquidated damages as per relevant clause of supply order.

The date of receipt of the materials by the consignee will be considered as the date of delivery.

**(D)** Upon despatch of the Goods to the consignee, you shall notify the Purchaser and Ultimate Consignee and deliver by express courier service the following documents to the Purchaser with a copy to the Ultimate Consignee:

- i. Four (4) copies of the Supplier's invoice showing Contract Number, Goods description, quantity, unit price, total amount;
- ii. Railway receipt/ Transporter's consignment note /acknowledgement of receipt of Goods from the consignee(s);
- iii. Manufacturer's/Supplier's warranty / guarantee certificate;

- iv. Manufacturer's Test & Inspection certificate;
- v. Copy of Performance Bank Guarantee;
- vi. Lowest Price certificate.

**11. INSPECTION AND TESTS:**

- i. The purchaser or its authorized representative shall have the right to inspect and/or to test the goods to confirm their conformity to the contract. The purchaser shall notify the supplier in writing of the identity of any representative retained for these purposes.
- ii. The inspections and tests may be conducted at the premises of the supplier or its subcontractor(s), at point of delivery and/or at the goods final destination when conducted on the premises of the supplier or its subcontractor(s), all reasonable facilities and assistance, including access to drawings and production data, shall be furnished to the inspectors at no charge to the purchaser.
- iii. Should any inspected or tested Goods fail to conform to the specifications, the purchaser may reject them and the supplier shall either replace the rejected goods and make all alternatives necessary to meet specification requirements free of cost to the Purchaser.
- iv. The purchaser's right to inspect, test and, where necessary, reject the goods after the Goods arrival at consignee's end shall in no way be limited or waived by reason of the Goods having previously been inspected, tested and passed by the Purchaser or its representative prior to the Goods shipment from the works of the firm.
- v. Materials are subject to inspection by the purchaser before dispatch. The materials may also be subject to stage inspection by a third party nominated by NCL for the purpose. Final inspection shall, however, be carried out at the consignee's end.
- vi. Nothing in these documents shall in any way relieve the supplier from any warranty or other obligations under this contract.
- vii. The purchaser shall, at its discretion, have the right to test the ordered material in a Government Test house or in a test house nominated by the purchaser. In case of failure of the material after testing, the cost of tests as well as of the material shall have to be borne by the supplier.

**12. PAYMENT TERMS:**

**I. For Equipment with Accessories, Spare Parts & Consumables for Warranty period of the Equipment:-**

- a) 80% value of the equipment and consumable spare parts and consumables for first

12 months of warranty period from the date of commissioning of the equipment and 100% taxes and duties and other charges shall be made within 21 days after receipt and acceptance of materials at site at the consignee's end and submission & acceptance of Performance Bank Guarantee valid till 54 months period from the date of commissioning of the equipment.

- b) Balance 20% payment shall be made after successful completion of erection, testing, commissioning and final acceptance of the equipment (along with the accessories) upon presentation of successful commissioning certificate, signed by the concerned officials of the Project and counter-signed by the Area General Manager (where the equipment has been deployed) & HOD of Excavation Deptt. of the NCL HQ, and confirmation of receipt of spares and consumables for first 12 months of warranty period from the date of commissioning of the equipment.

**II. For Payment of spare parts and consumables for 36 months after the warranty period of 12 months from the date of commissioning of the equipment:**

100% value of the spares and consumables and 100% taxes and duties and other charges shall be made within 21 days after receipt and acceptance of materials at consignee's end.

**Payments shall be made by "Electronic Fund Transfer"**

**No payment shall be made for supply of incomplete Equipment.**

**BANKER'S NAME & ADDRESS of M/s Caterpillar India Private Ltd., Tamil Nadu**  
**Citibank, Anna Salai Branch,**  
**Anna Salai, Chennai, Tamil Nadu**  
**CURRENT ACCOUNT NO. 0101187055**  
**IFSC Code: CITI0000003**

**BANKER'S NAME & ADDRESS of M/s GMMCO Limited**  
**HDFC Bank Ltd., Anna Salai Branch,**  
**759 ITC Center, Anna Salai, Chennai – 600 002**  
**CASH CREDIT ACCOUNT NO. 00040120000233**  
**RTGS / IFSC Code: HDFC0000004**

**13. SUBMISSION OF BILLS:**

**(A) FOR EQUIPMENT ALONG WITH ACCESSORIES, SPECIAL TOOLS, CONSUMABLE SPARES AND CONSUMABLES FOR WARRANTY PERIOD OF EQUIPMENT:**

- i) The duly stamped and pre-receipted Bills for 80% payment of equipment along with accessories in quadruplicate, as per terms of the contract must be submitted to the Paying Authority and one copy to the consignee along with the following documents:

- a) Pre-dispatch inspection note and receipt of equipment Certificate by the concerned project Head.
  - b) Road Challan/RR
  - c) Consignment Note
  - d) Test and Guarantee/Warranty Certificates.
  - e) Copy of GST Invoice
  - f) Auditor's certificate for payment of GST
  - g) Auditor's certificate for payment of Custom Duty, if any.
  - h) Performance Bank guarantee as per relevant clause of this order.
  - i) Any other statutory documents required.
  - j) DGMS approval and/or BIS Licence/Certificate as per supply order terms, wherever applicable
  - k) Any other documents specified in the order.
- ii) Bills in quadruplicate along with other necessary documents, duly stamped and pre-receipted, for balance 20% value of the equipment along with accessories and 100%value of consumable spares & consumables for warranty period of equipment should be submitted as per the payment terms clause, to the consignee for forwarding the same to paying authority concerned for payment stipulated as above. The consignee will attach the final Store Receipt Voucher and forward the same to the paying authority for payment.

**(B) FOR SPARE PARTS & CONSUMABLES BEYOND WARRANTY PERIOD OF THE EQUIPMENT, FOLLOWING DOCUMENTS SHOULD BE SUBMITTED:**

**(a) TO THE CONSIGNEE:**

- i) Consignment Note
- ii) Delivery Challan in original
- iii) One copy of the bill.
- iv) Warranty/Guarantee Certificate/Inspection Report wherever required.
- v) Manufacturers test certificate/Price Fall Clause Certificate
- vi) DGMS approval and/or BIS Licence/Certificate as per supply order terms, wherever applicable.
- vii) Any other documents specified in the order.

**(b) TO THE PAYING AUTHORITY:**

- i) 100% bill in triplicate duly pre-receipted and stamped.
- ii) GST documentary evidence, wherever mentioned.
- iii) Copy of the consignment Note Warranty/Guarantee Certificate/Copy of the Inspection Note wherever required.
- iv) Any other documents specified in the order.

**A COPY OF EACH OF THE ABOVE BILLS WITH THE STIPULATED COPIES OF DOCUMENTS MUST BE SUBMITTED TO THIS OFFICE SIMULTANEOUSLY ON PRESENTATION OF YOUR BILLS TO THE PAYING AUTHORITY.**



**14. LOWEST PRICE CERTIFICATE:**

You will have to submit a certificate along with the bill confirming that the prices charged by you for the ordered items are lowest and not higher than as applicable to DGS&D, other Govt. Dept./Undertaking including other subsidiaries of CIL.

You should also certify that the quoted rates are not higher than rates quoted / prices charged by you for same items to other customers.

**15. SPARE PARTS & CONSUMABLES:**

**(A)** Your authorized agent, M/s GMMCO Limited, will supply the spare parts & consumables required during the Warranty period of the equipment and spare parts & consumables for three years beyond the warranty period of the equipment (as per list enclosed at **Annexure - F**) to ensure the desired availability of the equipment during the warranty period and in subsequent three years beyond the warranty period of the equipment, as specified in the Performance Guarantee / Guaranteed percentage Availability Clause (as per **Annexure-B & C**).

**(B)** Any shortfall in the quantity of spare parts and consumables covered in the list of spare parts & consumables required during the Warranty period of the equipment and spare parts & consumables for three years beyond the warranty period of the equipment (as per **Annexure – F**), during the period of **48 months, for up to 22000 working hours** shall have to be supplied by you **free of cost**.

Any shortfall in quantity of above items during the period of 48 months, if required beyond 22000 working hours, shall be supplied by the supplier and the cost of same shall be borne by the Purchaser.

The Consignee & Engineer In-charge (Excv.) of concerned Project will ensure that the spare parts & consumables required during the Warranty period of the equipment and spare parts & consumables for three years beyond the warranty period of the equipment, supplied by the firm against this contract are exclusively used for the equipment for which these items have been supplied by the firm.

**16. LIQUIDATED DAMAGES CLAUSE:**

In the event of failure to deliver or dispatch the stores within the stipulated date/period in accordance with the samples and / or specifications mentioned in the supply order and in the event of breach of any of the terms and conditions mentioned in the supply order, NCL should have the right:-

- a) To recover from the firm as agreed liquidated damages, a sum of 0.5 % (half percent) of the price of any stores which the firm has not been able to supply as aforesaid for each week or part of a week during which the delivery of such stores may be in arrears limited to 10% (Ten percent). Wherever felt necessary, the limit of 10% can be increased to 15% at the discretion of Head of the Materials management Division of NCL.
- b) or to purchase elsewhere, after due notice to the firm on the account and at the

- risk of the firm the stores not supplied or others of similar description without canceling the supply order in respect of the consignment not yet due for supply or,
- c) To cancel the supply order or a portion thereof and if so desired to purchase the stores at the risk and cost of the firm and also,
  - d) To extend the period of delivery with or without penalty as may be considered fit and proper, the penalty, if imposed shall not be more than the agreed liquidated damages referred to in clause (a) above.
  - e) To forfeit the security deposit fully or partly.
  - f) Whenever, under this contract, a sum of money is recoverable from and payable by the firm, NCL shall be entitled to recover such sum by appropriating in part or in whole by deducting any sum or which at any time thereafter may become due to the firm in this or any contract should this sum be not sufficient to cover the full amount recoverable, the firm shall pay NCL on demand the remaining balance. The firm shall not be entitled to any gain on any such purchase.
  - g) CGST, SGST or IGST will be levied as applicable as per the provision of GST Act and Rule thereon.
  - h) The firm will issue credit note as per the provision of Rule 53 of CGST Rule, 2017 on quality deduction or liquidity damage, if any arises.

**17. RISK PURCHASE CLAUSE:**

In the event of failure of the firm to deliver or dispatch the stores within the stipulated date / period of the supply order, or in the event of breach of any of the terms and conditions mentioned in the supply order, NCL has the right to purchase the stores from elsewhere after due notice to the firm at the risk and cost of the firm. It is clearly mentioned that in the event of failure of the firm as detailed above, the cost as per risk purchase exercise may be recovered from the bills against any other supplies pending in NCL and also in any other Subsidiary Companies / Coal India Limited. GST will be charged/levied on Risk Purchase as per the provision of GST Act Rule thereon.

**18. Force Majeure Conditions:**

If the execution of the contract/supply order is delayed beyond the period stipulated in the contract/supply order as a result of outbreak of hostilities, declaration of an embargo or blockage or fire, flood, acts of nature or any other contingency beyond the supplier's control due to act of God, then the NCL may allow such additional time by extending the delivery period as he considers to be justified by the circumstances of the case and its decision in this regard shall be final. If and when additional time is granted by the purchaser, the contract/supply order shall be read and understood as if it had contained from its inception the delivery date as extended. Power failure will not be considered as a force majeure conditions.

- a) The successful bidder will advise, in the event of his having to resort to this clause, by a registered letter, duly certified by the local chamber of commerce, or statutory authorities, the beginning and end of the causes of the delay, within 15 days of the occurrence and cession of such force majeure conditions. In the event of delay last out of force majeure, NCL will reserve the right to cancel the contract, and

provisions governing termination of contract as stated in the bid documents will apply.

- b) For delay arising out of Force majeure, the bidder will not claim the extension in completion date for a period exceeding the period of delay attributable to the causes of force majeure and neither NCL nor the bidder shall be liable to pay extra cost provided it is mutually established that the force majeure conditions did actually exist.

**19. PRICE FALL CLAUSE:**

You shall undertake that you have not supplied / are not supplying similar product/systems or subsystems at a price lower than the ordered price in respect of any other Ministry / Department of the Government of India or PSU and if it is found at any stage that similar product / Systems or Subsystems was supplied by you to any other Ministry / Department of the Government of India or a PSU at a lower price, then that very price, with due allowance for elapsed time, will be applicable to the present case and the difference in the cost would be refunded by you to NCL, if the contract has already been concluded.

**You will furnish the following certificate to the consignee/ paying authority along with bill:**

"I/We certify that I/We have not supplied / are not supplying similar product/systems or subsystems at a price lower than the ordered price in respect of any other Ministry / Department of the Government of India or PSU".

Failure in submission of aforesaid certificate by the contract holder may result in withholding of the payment of their bills against supply.

**20. SECURITY DEPOSIT:**

You / Your agent, shall deposit **Rs. 42,93,76,714.00 (Rupees Forty Two Crore Ninety Three Lakh Seventy Six Thousand Seven Hundred & Fourteen Only)** as Security Money equivalent to 10% of the total equipment value of the Contract, on landed basis including the applicable taxes and duties (along with accessories and Spare Parts & Consumables for the warranty period of the equipment and Spare Parts & Consumables for 03 years beyond warranty period of the equipment), in the form of Bank Draft or Bank Guarantee valid for **a period of Delivery + erection and commissioning period + 06 months, which in any case shall not be less than 06 months.**

Two weeks' time (15 days) shall be given from the date of the order to the successful tenderer to furnish the Security Deposit. In case the firm fails to deposit the security money, the order shall be cancelled and the case shall be processed to order elsewhere and the firm's performance is to be kept recorded for future dealings with them.

The Security Money will be released within 30 days of satisfactory execution of the contract and after submission of Performance Bank Guarantee as per relevant clause of the supply order.

**M/s Caterpillar India Private Limited**

**Supply Order No.: 63711065 / 117A1045 / 100T Rear Dumper**

**Date: 08.01.2018**

For unsatisfactory performance and/or contractual failure till the erection & commissioning, the security money shall be forfeited.

Security Money may be converted into Performance Bank Guarantee (PBG). Wherever Security Money shall be treated as performance coverage of the supply order/contract, the operation of Security Money BG/PBG shall be guided by the stipulated Performance Bank Guarantee clause of this supply order.

The Security Deposit if submitted in the form of Bank Guarantee shall be issued by a scheduled bank in the specified format and shall be irrevocable and unconditional and NCL shall have the powers to invoke/en-cash it notwithstanding any dispute or difference between supplier and NCL pending before the court, tribunal, arbitrator or any other authority.

**In case, Security Deposit is submitted in the form of Bank Guarantee, you may please note the following:**

- i. The Bank Guarantees should be issued through Structured Financial Messaging System.
- ii. Details for Beneficiary Bank under fields of SFMS –

SFMS Field No.	Details
7035	IFSC Code: ICICI0003529
7036	ICICI Bank Ltd. – A/c No. 352905000016
7037	NCL540172593

- iii. Beneficiary Bank / Branch Name & address should be mentioned as ICICI Bank Ltd., Singrauli Branch, Plot No. 86, Opp. Post Office, Ward No. 3, Morwa, Tehsil Singrauli, Madhya Pradesh – 486889
- iv. If the Bank Guarantee is issued by ICICI Bank branches, the following may please be incorporated:  
“We shall be liable to pay the guaranteed amount or any part thereof under this Bank Guarantee only if you serve upon us a written claim or demand on or before ..... at ICICI Bank Ltd., Plot No. 86, Opp. Post Office, Ward No. 3, Morwa, Tehsil Singrauli, Madhya Pradesh – 486889.”

**21. PERFORMANCE BANK GUARANTEE:**

10% of the total Equipment value {along with Accessories, Consumable Spares & Consumables for the warranty period of the equipment + Spares & Consumables for a period of 36 Months beyond Warranty Period on Landed basis inclusive of applicable Taxes & Duties} on Landed basis inclusive of applicable Taxes & Duties, **valid for Erection & Commissioning period + 54 months** from the date of commissioning of the equipment.

<b>PBG amount per Dumper (INR)</b>	<b>Rs. 41,68,706.00</b>
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You shall have the option to get converted the Security Deposit amount into the Performance Bank Guarantee or submit the Performance Bank Guarantee separately. In case, you get the Security Deposit converted into Performance Bank Guarantee and Security Deposit amount is less than the required Performance Bank Guarantee amount, you shall have to submit the separate Performance Bank Guarantee for the additional required amount. In case Performance Bank Guarantee is submitted separately, Security Deposit shall be released against submission of Performance Bank Guarantee.

The Performance Bank Guarantee (s) may be submitted equipment wise also.

The Performance Bank Guarantee shall be released after fulfillment of all contractual obligations including warranty /guarantee conditions stipulated in the contract. For unsatisfactory performance and/or contractual failure, NCL shall have the full right to invoke/en-cash the Performance Bank Guarantee.

Release of PBG for each equipment, may be done separately on satisfactory performance of the respective equipment as above.

The Performance Bank Guarantee shall be issued by a scheduled bank in the specified format and shall be irrevocable and unconditional and NCL shall have the powers to invoke/en-cash it notwithstanding any dispute or difference between supplier and NCL pending before the court, tribunal, arbitrator or any other authority.

During submission of Bank Guarantee, please note that the Bank Guarantees should be issued through Structured Financial Messaging System and in line with the details provided in Clause No. 20 of this order.

**22. PERFORMANCE GUARANTEE & PENALTY FOR NOT ACHIEVING GUARANTEED PERCENTAGE AVAILABILITY:**

The availability of the Equipment should not be less than as specified in the table indicated below from the date of commissioning:

<b>Ist year warranty period</b>	<b>IInd year</b>	<b>IIIrd year</b>	<b>IVth Year</b>
85%	85%	80%	80%

Details of Performance Guarantee are given in **Annexure-B** and **Annexure-C** (General Requirements & Equipment Specifications).

In the event that the Equipment fails to achieve the Availability herein provided calculated over each 12-month period, the supplier should be liable to pay to the Purchaser, as penalty, a sum equal to as indicated hereunder for each equipment:

- a. 1% of the delivered landed price of the equipment including the price of spare parts and consumables for the respective year for reduction in every percentage or part thereof from the Guaranteed Availability for the first 5%.

- b. 10 % of the delivered landed price of the equipment including the price of spare parts and consumables for the respective year for reduction beyond 5% from the guaranteed availability.

The supplier may be given the option on request to modify the equipment, if felt necessary at his own cost, to bring its availability to the guaranteed level within 3 months after expiry of warranty period from the date of commissioning and accordingly the warranty period will be extended for such period.

If the equipment fails to achieve the required minimum guaranteed availability even after expiry of extended period (calculated including the extended period), the penalty deductions shall be done based on the calculation of achieved availability during the original warranty period (excluding the extended period).

The Penalty for not achieving guaranteed percentage availability if any (as detailed in **Annexure-B** Sub Clause 7.3.3) will be calculated on Landed Price basis inclusive of GST and will be recovered from the Bank Guarantees to be submitted by you as per payment terms clause or from your pending Bills.

If the availability of the equipment falls by more than 10% of the guaranteed availability (even after modification) during the warranty period and in any of the subsequent 03 years, the purchaser will have the option to reject the equipment after levying 10% penalty and the manufacturer will have to replace the complete / part of the equipment as applicable, at his cost, to meet the guaranteed %availability.

**23. COMPOSITE GUARANTEE/WARRANTY:**

You will warrant that the equipment supplied under this contract is:

- (a) is new, unused and of current design not likely to be discontinued or become obsolete till the life of the offered equipment.
- (b) Is in accordance with the contract specifications.
- (c) Shall have no defects arising out of design, materials or workmanship.

You shall guarantee for the satisfactory performance of the complete equipment/stores as specified in **Annexure-C**, Equipment Specifications for a period of 12 months from the date of commissioning.

In the event of any defects in materials, design and workmanship during the aforesaid period is found due to faulty design or poor workmanship, the defective part or parts will be replaced by you at site free of cost within 14 days of settlement of warranty claims. You will be required to stock spare parts to take care of warranty failures. Spares should be available within 2 weeks of the breakdown being intimated to you. The guarantee/composite warranty shall be submitted along with the bill. The warranty shall cover for the total equipment so that the necessity of having to approach different manufacturers of various components/ assemblies does not arise

and all services of the composite equipment under warranty clause shall be your responsibility. You will also replace the defective parts, if any, during the warranty period free of cost. The responsibility to collect the defective / rejected material will lie with you and the cost for such collection will have to be borne by you.

**24. ERECTION & COMMISSIONING:**

You will be responsible for the erection and commissioning of the equipment within **21** days of the receipt of complete equipment at site. However, the purchaser will assist in providing necessary erection tools & tackles etc. and cranes for this purpose. If the supplier fails to commission the equipment within the specified period as incorporated in the NIT Liquidated damages will be recovered @ 0.5% of the delivered/landed price of the equipment along with accessories per week or part thereof for the delayed period subject to a maximum of 10% of the delivered/landed price of equipment along with accessories. Wherever felt necessary, the limit of 10% can be increased to 15% at the discretion of Head of Materials Management Division of NCL.

In case there is delay in erection & commissioning on account of NCL, the period of delay (on account of NCL) shall not be counted for levy of liquidated damages.

**25. PACKING FOR TRANSIT:**

**i) PACKING:**

Stores should be properly packed and the supplier shall be held responsible for the stores not being sufficiently and properly packed for transport by road so as to ensure that they reach their destination safely without any damage. The packing of stores shall be done by the supplier at their cost.

The packing shall be sufficient to withstand, without limitation, rough handling during transit and exposure to extreme temperatures, salt and precipitation during transit and open storage.

Packing case size and weights shall take into consideration, where appropriate, the remoteness of the goods final destination and the absence of heavy handling facilities at all points in transit. The packing, marking and documentation within and outside the package shall comply strictly with such special requirements as shall be expressly provided for in the order.

The safe arrival of material at consignee end will be suppliers responsibility and the insurance is to be arranged by the supplier on their behalf and all claims for shortages/damages are to be lodged by the suppliers directly with Insurance Company and not by Northern Coalfields Limited.

**ii) MARKING & PACKAGE PACKING ETC.:**

Each package delivered under this contract shall be marked by the contractor at his cost, with the description and quantity of contents, with the consignee's name and address, with gross weight, with the name of contractor and with distinctive

number of mark which is also to be shown for the purpose of identification. All marking should be carried out with paint satisfactory to the inspector as regards quickness of drying and indelibility.

**iii) PACKING MATERIALS-FREE SUPPLY:**

All packing cases, containers, packing and other similar materials shall be supplied by the contractor free of cost.

Each package shall contain a packing note quoting specifically the name of the contractor, the supply order name and date, the name of the purchase organization who placed the order, the description of the stores and quantity contained in the package.

**26. MODE OF DESPATCH:**

By Road Transport: Full Truck load consignments are to be dispatched on door delivery basis. All consignment should be booked to consignee and not to selves. Transportation of goods is to be done through registered common carriers only.

**27. INTERCHANGEABILITY OF PARTS:**

In case of Consumable spares/Consumables/Back-up Spares, if against any item, it becomes necessary to supply spare parts bearing a Part No. other than specified in the Supply order, you will furnish the following certificate to the consignee before arranging supply of spares bearing different Part No. under advise to this offices. "The changed Part No. are exact replacement of the parts ordered and are suitable for and will fit in machinery in the existing fittings of which they are intended."

**28. PARTS CATALOGUE:**

You will submit an Assembly wise Parts Catalogue to General Manager (MM-HOD), NCL, Singrauli in addition to the Manuals as specified in Technical specifications (**Annexure-A, B & C**).

**29. SUBMISSION OF DESPATCH DETAILS:**

Dispatch Advice-Notification of dispatch should be sent to the Consignee & copy to General Manager (MM-HOD), NCL, Singrauli immediately after dispatch giving the following particulars:

- a) Supply Order No. and Date
- b) Machine SL. No.
- c) Date of Inspection.
- d) Date of dispatch
- e) Name of Project to which dispatched
- f) Number of Packages
- g) Quantity and / or weight
- h) Description of Stores
- i) Consignment Note No. & Date



j) Name of Transporter / Trailer/Truck No.

**30. INCIDENTAL SERVICES:**

You may be required to provide any or all of the following Services, including additional Services, if any, specified in technical specification:

- a) Performance or supervision of on-site assembly and/or start-up of the supplied Goods;
- b) Furnishing of tools required for assembly and/or maintenance of the supplied Goods;
- c) Furnishing of a detailed operations and maintenance manual for each appropriate unit of the supplied Goods;
- d) Performance or supervision or maintenance and/or repair of the supplied Goods, for a period of time agreed by the Parties, provided that this service shall not relieve the Supplier of any warranty obligations under this Contract; and
- e) Training of the Purchaser's personnel, at the Supplier's plant and/or on-site, in assembly, start-up, operation, maintenance and/or repair of the supplied Goods.

**31. LIMITATION OF LIABILITIES**

- i. Notwithstanding anything herein to the contrary, no party shall be liable for any indirect, special, punitive, consequential or exemplary damages, whether foreseeable or not, arising out of or in relation to this contract, loss of goodwill or profits, lost business however characterised, any/ or from any other remote cause whatsoever.
- ii. The supplier shall not be liable to the purchaser for any losses, claims, damages, costs or expenses whatsoever arising out of or in connection with this contract in excess of the contract value of the equipment supplied hereunder which caused such losses, claims, damages, costs or expenses.
- iii. However, the limitation of liability of the supplier indicated in clause 31.ii above shall not apply to Liquidated Damages, sub clause 16 (c).

**32. INTEGRITY PACT:**

You have confirmed acceptance of signing the Integrity Pact as per specified format and have also uploaded the scanned copy of the signed Integrity Pact. The independent external monitors nominated for implementation of the integrity pact are indicated below:

1. Shri Sewa Ram, IAS (Rtd.), 660, Sector -26, Panchkula-134116 (Haryana).  
Mobile No. +91-9478730069. Email ID [sarangalsr@hotmail.com](mailto:sarangalsr@hotmail.com).
2. Shri J.K Khanna, IPS (Rtd), A-102, Sector-55, Noida-201307 (UP). Tel. No. 0120-4322330, Mobile No. +91-9810940403. Email ID [jkkhannaips@yahoo.com](mailto:jkkhannaips@yahoo.com).

**33. JURISDICTION OF COURT:** This contract shall be governed by Indian Laws. All disputes are subject to the jurisdiction of "Singrauli", M.P., India Court only. Apart from the above terms and conditions, the supplies will also be governed by the "General Terms & Conditions of supplies" issued along with our "Invitation to Tender and Instruction to the Tenderer".

The contract is concluded with acceptance. We enclose 02 copies of the purchase order, one of which should be returned to us duly stamped and signed by you as a token of acknowledgement and acceptance of this contract.

- (1) Annexure A – Scope of Supply
- (2) Annexure B – General Requirements
- (3) Annexure C – Equipment Specification
- (4) Annexure D – Comprehensive Tool Kit & Special Tool List
- (5) Annexure E – Major bought out assemblies & sub-assemblies
- (6) Annexure F – List of Spare Parts & Consumables for the warranty period and Spare Parts & Consumables for 03 years beyond warranty period of equipment.
- (7) Annexure G – Certificate for Major Assembly, Sub-Assembly.
- (8) Annexure H – Lubricant Recommendation
- (9) Annexure I – Preventive Maintenance Schedule
- (10) Annexure J – Format for Annual Performance Report.
- (11) Annexure K – Quality Assurance Plan
- (12) Annexure L – ISO Certificate
- (13) Annexure M – Engine Details
- (14) Annexure N – Fuel Tank Calculation
- (15) Annexure O – Self Certificate for vibration intensity
- (16) Annexure P – Self Certificate for AV Alarm
- (17) Annexure Q – Lighting Details
- (18) Annexure R – Auto Dipper System
- (19) Annexure S – Self Certificate with respect to Anti-collision device
- (20) Annexure T – Details of nearest Depot / Warehouse and Service Facility
- (21) Annexure U – Details of erection programme
- (22) Annexure V – Engine Performance Curves
- (23) Annexure W – Rim-pull - Speed - Gradeability Curves
- (24) Annexure X – Retarding Performance Chart
- (25) Annexure Y – Operating Cycle time calculation
- (26) Annexure Z – Result of service and secondary brake
- (27) Annexure AA – Calculations and drawings verifying the body capacity
- (28) Annexure AB – Detailed technical description
- (29) Annexure AC - Detailed technical description of ECMs, Diagnostic Tool's feature and Payload Monitoring System
- (30) Annexure AD – Layout drawings and detailed technical descriptions of hydraulic systems and components
- (31) Annexure AE – Test report (hydraulic and NDT) of pressure vessel

**M/s Caterpillar India Private Limited**

**Supply Order No.: 63711065 / 117A1045 / 100T Rear Dumper**

**Date: 08.01.2018**

- (32) Annexure AF – Automatic Centralized Lubricating System (ACLS)
- (33) Annexure AG - Automatic fire detection and suppression system (AFDSS)
- (34) Annexure AH - Comprehensive commercial literature
- (35) Annexure AI - Details of Rear vision system
- (36) Annexure AJ - Details of Proximity device
- (37) Annexure AK – Operating Range of Gauges
- (38) Annexure AL – Integrity Pact
- (39) Annexure AM – Undertaking towards After Sales Service Support

Yours faithfully,

**(Shameel Waris)**  
Dy. Manager (MM)

**(A.K. Singh)**  
GM (MM) / HOD (Pur)

**Copy to :**

The DT (Op), NCL, Singrauli.

The Chief Vigilance Officer, NCL, Singrauli.

The General Manager (Fin), NCL, Singrauli.

The General Manager (Excv), NCL, Singrauli.

The Company Secretary, NCL, Singrauli – as communicated vide Ref. No. 676 dated  
02.01.2018

The General Manager, NCL, Amlohri / Bina / Dudhichua / Jayant / Nigahi / Khadia /  
Jhingurda / Kakri

The Staff Officer (Excv), NCL, Amlohri / Bina / Dudhichua / Jayant / Nigahi / Khadia  
/ Jhingurda / Kakri

The Depot Officer, Regional Stores, Amlohri / Bina / Dudhichua / Jayant / Nigahi / Khadia  
/ Jhingurda / Kakri

The Area Finance Manager, NCL, Amlohri / Bina / Dudhichua / Jayant / Nigahi / Khadia  
/ Jhingurda / Kakri

The Area Finance Manager, NCL HQ, Singrauli

The General Manager,  
Northern Coalfields Limited,  
Kolkata Office, 15, Gariahat Road, 2nd. Floor,  
Kolkata: 700 019.

The Chief Manager (Excavation): For arranging pre-dispatch inspection.  
Regional Sales Office,  
Geetha Mansion, 4<sup>th</sup> Floor,  
40, Kempe Gowda Road,  
Bangalore:560009

**M/s Caterpillar India Private Limited****Supply Order No.: 63711065 / 117A1045 / 100T Rear Dumper****Date: 08.01.2018**

The Chief General Manager (MM)/GM(MM), CIL/CCL/BCCL/ECL/SECL/WCL/MCL

M/s GMMCO Limited,  
 Plot No. 13/2, Khajra Kras,  
 Near NCL CMD Office, Singrauli,  
 Dist. Singrauli (M.P.) – 486 889  
 FAX – 07805 – 266 667

Shri Sewa Ram, IAS (Rtd.),  
 660, Sector -26, Panchkula-134116 (Haryana)

Shri J.K Khanna, IPS (Rtd),  
 A-102, Sector-55, Noida-201307 (UP)

**This issues against following indent Nos.:**

Sl. No.	Indent No. & Date	Qty. Nos.	Project	Details of Surveyed Off	
				M/c Sl. No.	SOR No. & Date
1.	SGR / CP / HEMM / DCH / 14-15 / 742 dated 26.07.2014	01	Dudhichua	D-4296	2681 / 12-13 dated 23.05.2012
2.	SGR / CP / HEMM / DCH / 13-14 / 720 dated 17.02.2014	02	Dudhichua	D-4290 D-8735	2678 / 15-16 dated 25.06.2015 1393 / 14-15 dated 21.08.2014
3.	SGR / CP / HEMM / KHD / 14-15 / 751 dated 20.09.2014	01	Khadia	D-5638	2078 / 14-15 dated 15.02.2015
4.	SGR / CP / HEMM / KHD / 13-14 / 718 dated 17.02.2014	02	Khadia	D-7587 D-8721	2187 / 14-15 dated 12.03.2015 620 / 16-17 dated 25.05.2016
5.	SGR / CP / HEMM / KHD / 12-13 / 706 dated 18.09.2013	01	Khadia	D-6210	1195 / 14-15 dated 17.07.2014
6.	SGR / CP / HEMM / BINA / 14-15 / 754 dated 20.09.2014	01	Bina	D-8526	1756 / 14-15 dated 13.11.2014
7.	SGR / CP / HEMM / BINA / 13-14 / 716 dated 17.01.2014	01	Bina	D-7643	1584 / 16-17 dated 15.12.2016
8.	SGR / CP / HEMM / NGH / 14-15 / 748 dated 20.09.2014	08	Nigahi	D-4790 D-4794 D-4795 D-4797 D-4798 D-4799 D-4800	384 / 2002 dated 01.03.2002

**M/s Caterpillar India Private Limited**

**Supply Order No.: 63711065 / 117A1045 / 100T Rear Dumper**

**Date: 08.01.2018**

9.	SGR / CP / HEMM / AML / 13-14 / 747 dated 19.09.2014	01	Amlohri	D-3099	552 / 06-07 dated 12.12.2006
10.	SGR / CP / HEMM / AML / 13-14 / 717 dated 21.01.2014	03	Amlohri	D-8136 D-8286 D-8711	417 / 13-14 dated 25.02.2014 2796 / 17-18 dated 18.12.2017 1936 / 14-15 dated 29.12.2014
11.	SGR / CP / HEMM / AML / 13-14 / 750 dated 20.09.2014	01	Amlohri	D-8713	890 / 16-17 dated 18.07.2016
12.	SGR / CP / HEMM / JNT / 14-15 / 752 dated 20.09.2014	02	Jayant	D-9690 D-9688	1752 / 16-17 dated 19.01.2017 1824 / 16-17 dated 10.02.2017
13.	SGR / CP / HEMM / JNT / 14-15 / 749 dated 20.09.2014	14	Jayant	D-6201 D-6202 D-6371 D-6377 D-5651 D-6441 D-6052 D-4877 D-5655 D-6048 D-6047 D-6370 D-6055 D-6375 D-6445 D-6372	1695 / 08-09 dated 23.12.2008 1711 / 08-09 dated 28.11.2008 0136 / 08-09 dated 31.03.2009 1628 / 08-09 dated 19.11.2008 1613 / 08-09 dated 10.11.2008 1481 / 08-09 dated 19.07.2008 1290 / 07-08 dated 12.03.2008 377 / 06-07 dated 26.08.2006 683 / 06-07 dated 03.03.2007 1451 / 08-09 dated 26.06.2008 997 / 07-08 dated 14.09.2007 959 / 07-08 dated 25.08.2007 655 / 06-07 dated 14.02.2007 655 / 06-07 dated 14.02.2007 1383 / 08-09 dated 20.05.2008 1291 / 07-08 dated

**M/s Caterpillar India Private Limited**

**Supply Order No.: 63711065 / 117A1045 / 100T Rear Dumper**

**Date: 08.01.2018**

					12.03.2008
14	SGR / CP / HEMM / KKA/ 16-17 / 791 dated 18.02.2016	10	Kakri	D-8254	3341 / 12-13 dated 14.12.2012
				D-8495	3049 / 12-13 dated 31.10.2012
				D-8494	3340 / 12-13 dated 14.12.2012
				D-8497	3048 / 12-13 dated 31.10.2012
				D-8508	24 / 13-14 dated 06.01.2014
				D-8728	36 / 13-14 dated 28.01.2014
				D-8729	220 / 13-14 dated 28.01.2014
				D-8730	38 / 13-14 dated 06.01.2014
				D-8052	1001 / 16-17 dated 04.08.2016
				D-8427	902 / 16-17 dated 21.07.2016
				D-8428	892 / 16-17 dated 18.07.2016
				D-8430	1587 / 16-17 dated 15.12.2016
15	SGR / CP / HEMM / DCH / 16-17 / 819 dated 30.05.2016	2	Dudhichua	D-9909	2740 / 17-18 dated 11.12.2017
				D-9911	2756 / 17-18 dated 11.12.2017
				D-10812	2799 / 17-18 dated 18.12.2017
16	SGR / CP / HEMM / JRD/ 16-17 / 800 dated 20.04.2016	10	Jhingurdah	D-8714	1887 / 13-14 dated 12.12.2013
				D-6207	1779 / 13-14 dated 27.11.2013
				D-7796	25 / 13-14 dated 03.01.2014
				D-7795	44 / 13-14 dated 04.01.2014
				D-7806	852 dated 28.05.2014
				D-7802	2529 / 12-13 dated 24.04.2012
				D-7799	223 / 13-14 dated 28.01.2014

**M/s Caterpillar India Private Limited**

**Supply Order No.: 63711065 / 117A1045 / 100T Rear Dumper**

**Date: 08.01.2018**

				D-7800 D-8715 D-6199 D-6449 D-7808	225 / 13-14 dated 28.01.2014 43 / 13-14 dated 04.01.2014 22 / 13-14 dated 03.01.2014 36 / 13-14 dated 04.01.2014 020 / 13-14 dated 03.01.2014
17	SGR / HEMM / JNT / 16-17 / 807 dated 05.05.2016	6	Jayant	D-9917 D-9937 D-9933 D-9935 D-9919 D-9936 D-9925	2743 / 17-18 dated 11.12.2017 2732 / 17-18 dated 07.12.2017 2710 / 17-18 dated 30.11.2017 2785 / 17-18 dated 18.12.2017 2731 / 17-18 dated 07.12.2017 2754 / 17-18 dated 11.12.2017 2730 / 17-18 dated 07.12.2017
18	SGR / CP / HEMM / AML / 16-17 / 797 dated 04.04.2016	9	Amlohri	D-9664 D-9674 D-9670 D-9672 D-9671 D-9666 D-9665 D-9673 D-9668 D-9669 D-9667	904 / 16-17 dated 20.07.2016 1151 / 16-17 dated 05.09.2016 1846 / 16-17 dated 15.02.2017 903 / 16-17 dated 21.07.2016 1362 / 16-17 dated 20.10.2016 1823 / 16-17 dated 10.02.2017 1583 / 16-17 dated 15.12.2016 1363 / 16-17 dated 20.10.2016 1582 / 16-17 dated 15.12.2016 1096 / 16-17 dated 19.08.2016 1364 / 16-17 dated

**M/s Caterpillar India Private Limited**

**Supply Order No.: 63711065 / 117A1045 / 100T Rear Dumper**

**Date: 08.01.2018**

					20.10.2016
19	SGR /CP/ HEMM / KHD / 16-17 / 795 dated 04.04.2016	2	Khadia	D-9696 D-9697 D-9698	2746 / 17-18 dated 11.12.2017 2321 / 17-18 dated 18.07.2017 980 / 16-17 dated 02.08.2016
20	SGR /CP/ HEMM / NGH / 16-17 / 809 dated 05.05.2016	2	Nigahi	D-9699 D-9702	2748 / 17-18 dated 11.12.2017 2798 / 17-18 dated 18.12.2017
21	SGR /CP/ HEMM / KHD / 12-13 / 808 dated 05.05.2016	1	Khadia	D-6785	1589 / 16-17 dated 15.12.2016
22	SGR / HEMM / JNT / 16-17 / 796 dated 04.04.2016	8	Jayant	D-9691 D-9694 D-9929 D-9930 D-9692 D-9932 D-9927 D-9928 D-9918 D-9920	1844 / 16-17 dated 15.02.2017 1953 / 16-17 dated 21.03.2017 2797 / 17-18 dated 18.12.2017 2711 / 17-18 dated 30.11.2017 1825 / 16-17 dated 10.02.2017 1585 / 16-17 dated 15.12.2016 1845 / 16-17 dated 15.02.2017 1952 / 16-17 dated 21.03.2017 2783 / 17-18 dated 18.12.2017 2790 / 17-18 dated 18.12.2017
23	SGR / CP /HEMM / JNT / 16-17 / 796 dated 30.05.2016	9	Jayant	D-9921 D-9923 D-9924 D-9926 D-9931 D-10046 D-10047	2793 / 17-18 dated 18.12.2017 2752 / 17-18 dated 11.12.2017 2794 / 17-18 dated 18.12.2017 2787 / 17-18 dated 18.12.2017 2782 / 17-18 dated 18.12.2017 2786 / 17-18 dated 18.12.2017



**M/s Caterpillar India Private Limited**

**Supply Order No.: 63711065 / 117A1045 / 100T Rear Dumper**

**Date: 08.01.2018**

				D-10048 D-10049 D-10050 D-10052	2784 / 17-18 dated 18.12.2017 2792 / 17-18 dated 18.12.2017 2791 / 17-18 dated 18.12.2017 2788 / 17-18 dated 18.12.2017 2733 / 17-18 dated 07.12.2017
24	SGR /CP/ HEMM / AML / 16-17 / 820 dated 30.05.2016	2	Amlohri	D-8707 D-8710 D-9685	2734 / 17-18 dated 07.12.2017 8710 / 17-18 dated 13.12.2017 2753 / 17-18 dated 11.12.2017
25	SGR / HEMM / DCH / 16-17 / 798 dated 04.04.2016	4	Dudhichua	D-8420 D-8421 D-8422 D-4841	2755 / 17-18 dated 11.12.2017 2751 / 17-18 dated 11.12.2017 2795 / 17-18 dated 18.12.2017 907 / 16-17 dated 21.07.2016
	<b>Total</b>	<b>103</b>			

**Capital Budget:**

Sl. No.	Financial Year	BC No., Date & Amount
1.	2017-18	NCL / HQ / C&B / Capital / HEMM / 2017-18 / 04 dated 08.01.2018 for Rs. 73,38,25,476.40
2.	2018-19	NCL / HQ / C&B / Capital / HEMM / Noting / BE / 2018-19 / 02 dated 08.01.2018 for Rs. 304,53,75,727.06

**Revenue Budget:**

Sl. No.	Financial Year	BC No., Date & Amount
1.	2018-19	NCL / HQ / C&B / 2018-19 / Notings / Centralized HEMM Spares / 10 dated 08.01.2018 for Rs. 19,15,73,498.64
2.	2019-20	NCL / HQ / C&B / 2019-20 / Notings / Centralized HEMM Spares / 08 dated 08.01.2018 for Rs. 11,45,07,527.71
3.	2020-21	NCL / HQ / C&B / 2020-21 / Notings / Centralized HEMM Spares / 04 dated 08.01.2018 for Rs. 13,62,08,185.71
4.	2021-22	NCL / HQ / C&B / 2021-22 / Notings / Centralized HEMM Spares /

**M/s Caterpillar India Private Limited**

**Supply Order No.: 63711065 / 117A1045 / 100T Rear Dumper**

**Date: 08.01.2018**

		02 dated 08.01.2018 for Rs. 7,22,76,723.28
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**FC No.:** NCL / HQ / FC / 2017-18 / Capital / HEMM / 04 dated 08.01.2018 for Rs. 429,37,67,138.80

This issues with the approval of NCL Board of Directors in their 220<sup>th</sup> Meeting communicated by Company Secretary vide Ref. No. 676 dated 02.01.2018.

Dy. Manager (MM)

GM (MM) / HOD (Pur)